



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Erik J. Shahoian et al.

SERIAL NO.: 10/816,954

CONFIRMATION NO.: 2293

FILING DATE: April 5, 2004

TITLE: Low-Cost Haptic Mouse Implementations

EXAMINER: Alexander Eisen

ART UNIT: 2629

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

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5/3/07

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Michelle R. Crosby

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COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits this Information Disclosure Statement (IDS) including a Form PTO-1449 containing a list of references which may be material to the examination of the above-referenced application. This submission is not to be construed as a representation that a prior art search has been conducted, that additional information material to the examination of this application does not exist, or that any one or more of the listed references constitutes prior art.

The Examiner is urged to carefully consider this Information Disclosure Statement and all the listed references, and to indicate same by initialing the appropriate portion(s) of the Form PTO-1449 and forwarding an initialed copy to applicant.

Pursuant to 37 C.F.R. § 1.98(2) legible copies of prior art references other than U.S. Patents and U.S. Patent Application Publications are attached.

**I**

This statement is filed pursuant to **(CHECK ONE BOX)**:

☐
**37 C.F.R. § 1.97(b).**

This information disclosure statement is filed either:

- (1) within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;
- (3) before the mailing date of a first office action on the merits; **or**
- (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, **whichever event occurs last.**

**Accordingly, this information disclosure statement requires no fee and no certification.**

☒
**37 C.F.R. § 1.97(c).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97(b), but **before** the mailing date of any of the following:

- (1) a final action under 37 C.F.R. § 1.113;
- (2) a notice of allowance under 37 C.F.R. § 1.311; **or**
- (3) an action that otherwise closes prosecution in the application.

**Accordingly, this information disclosure statement requires either:**

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); **or**
- (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

☐
**37 C.F.R. § 1.97(d).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97 (c).

**Accordingly, this information disclosure statement requires:**

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); **and**
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. **To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.**

**II**

**Fees Due (CHECK ONE BOX):**

- ☐ No fee is due.
- ☒ The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).

**III**

**Certification (CHECK ONE BOX):**


- ☒ No certification is necessary.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  
That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

**IV**

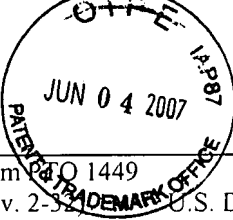
Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

Respectfully submitted,  
THELEN REID BROWN RAYSMAN & STEINER LLP

Dated: May 31, 2007

  
Suvashis Bhattacharya  
Reg. No. 46,554

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Form PTO 1449 (Rev. 2-52)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. IMMR-0098B (034701-574)	Serial No. 10/816,954
<b>Information Disclosure Statement by Applicant</b>		Applicant: Erik J. Shahoian et al.	
(Use several sheets if necessary)		Filed: 04/05/2004	Group: 2629

**U.S. Patent Documents**

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
		4,897,582	01/30/1990	Otten et al.			
		5,184,310	02/02/1993	Takenouchi			
		5,194,786	03/16/1993	Smith et al.			
		5,271,290	12/21/1993	Fischer			
		5,555,894	09/17/1996	Doyama et al.			
		5,650,704	07/22/1997	Pratt et al.			
		5,712,725	01/27/1998	Faltermeier et al.			
		5,734,236	03/31/1998	Motegi			
		5,828,197	10/27/1998	Martin et al.			
		5,896,076	04/20/1999	van Namen			
		5,952,806	09/14/1999	Muramatsu			
		6,050,718	04/18/2000	Schena et al.			
		6,057,753	05/02/2000	Myers			
		6,171,191	01/09/2001	Ogata et al.			
		6,211,861	04/03/2001	Rosenberg et al.			
		6,256,011	07/03/2001	Culver			
		6,353,427	03/05/2002	Rosenberg			
		6,496,200	12/17/2002	Snibbe et al.			
		6,686,901	02/03/2004	Rosenberg			
		7,024,625	04/04/2006	Shalit			

**U.S. Publication Documents**

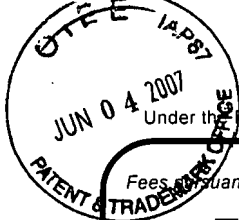
Init.		Document No.	Date	Name	Class	Subclass	Filing Date
		2002/0030663	03/14/2002	Tierling et al.			

**Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)**


Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.



Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 300.00

### Complete if Known

Application Number	10/816,954
Filing Date	April 5, 2004
First Named Inventor	Erik J. Shahoian et al.
Examiner Name	Alexander Eisen
Art Unit	2629
Attorney Docket No.	IMMR-0098B (034701-000574)

### METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 50-1698 Deposit Account Name: THELEN REID BROWN RAYSMAN & STEINER LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) ☒ Credit any overpayments  
Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

#### 2. EXCESS CLAIM FEES

Fee Description				Small Entity	
				Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)				50	25
Each independent claim over 30 (including Reissues)				200	100
Multiple dependent claims				360	180
Total Claims				Multiple Dependent Claims	
				Fee (\$)	Fee Paid (\$)
20	-20 HP=	0	x 50 =		
HP = highest number of total claims paid for, if greater than 20.					
Indep. Claims				Fee Paid (\$)	
				Fee (\$)	Fee Paid (\$)
3	- 3 or HP=	0	x 200 =		
HP = highest number of independent claims paid for, if greater than 3.					

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	- 100 = _____	/ 50 = _____ (round up to a whole number) x		

#### 4. OTHER FEE(S)

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	_____
Other (e.g., late filing surcharge): Submission of IDS fee	\$180.00

### SUBMITTED BY

Signature	<u>S. Bhattacharya</u>	Registration No. (Attorney/Agent)	46,554	Telephone	408-292-5800
Name (Print/Type)	Suvashis Bhattacharya	Date	5/31/07		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.